

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Wolfgang Bross et al.

Application No.: Not yet known

Filing Date: Herewith

Confirmation N ..

Examiner: Not yet known

Group Art Unit:

Not yet known

Title: METHODS, DATA RECORD, SOFTWARE INTERFACE, DATA
WAREHOUSE MODULE AND SOFTWARE APPLICATION FOR
EXCHANGING TRANSACTION-TAX-RELATED DATA

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of _____. At any time during the
pendency of this application, please charge any fees required or credit any overpayment to Deposit
Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with
copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)
believe(s) may be material to the examination of this application and for which there may be a duty to
disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications
and other foreign language information listed on PTO Form 1449, as presently understood by the
individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the
attached sheet, or where a foreign language patent is cited in a search report or other action by a
foreign patent office in a counterpart foreign application, an English language version of the search
report or action which indicates the degree of relevance found by the foreign office is listed on form
PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C.
20231, U.S. Pat. and Trad. Off.,

P.O. Box 2327, Arlington, VA
Date of Deposit: November 26, 2001 22202

Typed Name: Grant E. Pollack

Signature: Grant E. Pollack

By

Grant E. Pollack
Grant E. Pollack

Attorney/Agent for Applicant(s)
Reg. No. 34,097

Date: November 26, 2001

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 100111406	APPLICATION NO. Not yet	CONFIRMATION known
	APPLICANT Wolfgang Bross et al.		
	FILING DATE Herewith	GROUP Not yet known	

1059 U.S. PTO
 09/995320



REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	6,298,333	10/2/01	Manzi et al.	705	31
	6,202,052	3/13/01	Miller	705	31
	6,183,140	2/6/01	Singer et al.	395	231
	6,078,899	6/20/00	Francisco et al.	705	19
	6,078,898	6/20/00	Davis et al.	705	19
	6,064,983	5/16/00	Koehler	705	31
	6,003,016	12/14/99	Hagemier	705	19
	5,875,433	2/23/99	Francisco et al.	705	26
	5,799,283	8/25/98	Francisco et al.	705	19
	5,774,872	6/30/98	Golden et al.	705	19
	5,644,724	7/1/97	Cretzler	395	219

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED